

**Computational uncertainties in the prediction of lattice thermal conductivity of crystalline solids**Yagyank Srivastava , Amey G. Gokhale , and Ankit Jain\*  
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We report computational uncertainties in Boltzmann transport equation (BTE) based lattice thermal conductivity predictions of 50 diverse semiconductors from the use of different BTE solvers (ShengBTE, Phono3Py, and in-house code) and interatomic forces. The interatomic forces are obtained either using the density functional theory (DFT) as implemented in packages Quantum Espresso and VASP employing commonly used exchange correlation functionals (PBE, LDA, PBEsol, and rSCAN) or using the pretrained foundational machine learning (ML) force fields trained on two different material datasets. We find that the considered BTE solvers introduce minimal uncertainties and, using the same interatomic force constants, all solvers result in an excellent agreement with each other, with a mean absolute percentage error (MAPE) of only 1%. While this error increases to around 10% with the use of different DFT packages, the error is still small and can be reduced further with the use of stringent plane-wave energy cutoffs. On the other hand, the differences in thermal conductivity due to the use of different exchange correlation functionals are large, with a MAPE of more than 20%. The currently available pretrained foundational ML models predict the right trend for thermal conductivity, but the associated errors are high, limiting their applications for coarse screening of materials.

DOI: [10.1103/2n3p-hz62](https://doi.org/10.1103/2n3p-hz62)**I. INTRODUCTION**

The thermal conductivity of a material plays a crucial role in determining its performance in various technological applications [1–3]. In semiconducting and insulating solids, the thermal transport is primarily due to atomic vibrations, i.e., phonons [4–6]. Conventionally, the search of materials with low- and high-thermal conductivity is led by experiments and/or empirical experimental observations [7]. However, in the last decade, with the availability of computational resources and computer codes, it has become possible to predict phonon thermal conductivity from ab initio by solving the Boltzmann transport equation (BTE) [3,8–11].

The calculation of phonon thermal conductivity ( $\kappa$ ) based on the Boltzmann transport equation (BTE) approach begins with the evaluation of interatomic forces [10,11]. Traditionally, these forces were obtained from density functional theory (DFT) calculations by employing different exchange-correlation (XC) functionals [12]. With advances in machine learning models in the past few years, it is now possible to get these forces from pre-trained (on DFT forces) foundational machine learning (ML) models [13]. The interatomic forces obtained from DFT or ML models are then employed to extract interatomic force constants (using tools such as thirdorder.py [14], hiPhive [15], etc) and the obtained interatomic force constants are subsequently passed on to BTE solvers (such as ShengBTE [14], Phono3py [16], etc.) to get to the  $\kappa$  of material. In addition to the employed thermal transport theory (such as three- vs four-phonon scattering, phonon renormalization, etc.), the accuracy of thermal conductivity

obtained using this BTE-based approach depends on the interatomic forces and employed BTE solvers. There are multiple reports in the literature discussing the role of the employed thermal transport theory on predicted  $\kappa$  for various material systems [17–19]. Studies on the effect of force interactions (using an XC functional or ML-model DFT package) and the employed BTE package are rare, limited primarily to a handful of simple cubic material systems [12,20]. Nevertheless, for the computational discovery of novel material systems, it is important to establish the uncertainties in  $\kappa$  arising from the choice of these simulation parameters.

In this work, we carried out a computational study to systematically quantify the uncertainties in predicting  $\kappa$  originating from interatomic forces (based on different DFT solvers, XC functionals, and ML methods) and from the employed BTE solvers, on 50 diverse semiconducting materials. We employed the commonly used DFT packages Quantum Espresso (QE) [21] and VASP [22], both based on a plane-wave basis set, and compared LDA [23], PBE [24], PBEsol [25], and rSCAN [26] functionals. We also compared the performance of two variants of the foundational ML model MACE [27] trained on the MaterialsProject (MP) [28] and MatPES datasets [29]. The  $\kappa$  calculations via the BTE solution are performed using our in-house code (ALD), ShengBTE, and Phono3py, to establish uncertainties from BTE solvers.

We find that there are minimal differences in  $\kappa$  obtained from the different BTE solvers. The maximum variation in  $\kappa$  is due to the choice of the employed functional. Surprisingly, we find that the commonly employed PBE functional results in an underprediction of  $\kappa$  compared to other functionals (17% underprediction compared to PBEsol functional). This is alarming, as many of the large material datasets are constructed using the PBE functional [28,29]. Therefore, ML

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force fields trained on such datasets may result in a similar underprediction of  $\kappa$ . Further, a quick search on Google Scholar with keywords ‘‘PBE functional’’ and ‘‘phonon thermal conductivity’’ returns 264 hits compared to only 42 and 47 for LDA and PBEsol functionals, indicating wider use of the PBE functional for  $\kappa$  calculations. Finally, the errors obtained using foundational ML models are currently large, but these models are able to get the correct trend of  $\kappa$ .

## II. METHODOLOGY

We calculate the thermal conductivity,  $k_\alpha$ , in the  $\alpha$  direction by solving the BTE and using Fourier’s law as [4,18]

$$k_\alpha = \sum_{\mathbf{q}} \sum_{\nu} c_{q\nu} v_{q\nu,\alpha}^2 \tau_{q\nu,\alpha}. \quad (1)$$

The summation in the Eq. (1) is over all the phonon wavevectors  $\mathbf{q}$  and polarizations  $\nu$ , and  $c_{q\nu}$  is the phonon specific heat,  $v_{q\nu,\alpha}$  is the  $\alpha$  component of phonon group velocity vector  $\mathbf{v}_{q\nu}$ , and  $\tau_{q\nu,\alpha}$  is the phonon scattering time. Phonons are bosons and follow the Bose-Einstein distribution, when in equilibrium. The phonon specific heat can be obtained from the phonon vibrational frequencies as

$$c_{q\nu} = \frac{\hbar \omega_{q\nu}}{V} \frac{\partial n_{q\nu}^o}{\partial T} = \frac{k_B x^2 e^x}{(e^x - 1)^2}. \quad (2)$$

The  $n_{q\nu}^o$  in Eq. (2) is the Bose-Einstein distribution ( $n_{q\nu}^o = \frac{1}{e^x - 1}$ ),  $\hbar$  is the reduced Planck constant,  $\omega_{q\nu}$  is the phonon frequency,  $V$  is the crystal volume,  $T$  is the temperature,  $k_B$  is the Boltzmann constant, and  $x = \frac{\hbar \omega_{q\nu}}{k_B T}$ . The phonon group velocities are obtained as

$$\mathbf{v}_{q\nu} = \frac{\partial \omega_{q\nu}}{\partial \mathbf{q}}. \quad (3)$$

The phonon frequencies are obtained from the diagonalization of the dynamical matrix as

$$\omega_{q\nu}^2 \mathbf{e}_{q\nu} = \mathbf{D}_{\mathbf{q}} \cdot \mathbf{e}_{q\nu}, \quad (4)$$

where  $\mathbf{e}_{q\nu}$  is the eigenvector and  $\mathbf{D}_{\mathbf{q}}$  is the Dynamical matrix whose elements,  $D_{\mathbf{q}}^{3(b-1)+\alpha, 3(b'-1)+\beta}$ , given by [5]

$$D_{\mathbf{q}}^{3(b-1)+\alpha, 3(b'-1)+\beta} = \frac{1}{\sqrt{m_b m_{b'}}} \sum_{l'} \Phi_{b0; b'l'}^{\alpha\beta} \times \exp\{i[\mathbf{q} \cdot (\mathbf{r}_{b'l'} - \mathbf{r}_{b0})]\}, \quad (5)$$

where the summation is over all unit cells in the lattice. Here  $m_b$  is the mass of atom  $b$  in the unit cell,  $\mathbf{r}_{bl}$  is the position vector of atom  $b$  in the  $l$ th unit cell, and  $\Phi_{ij}^{\alpha\beta}$  is the real-space ( $ij, \alpha\beta$ ) element of the harmonic force constant matrix  $\Phi$ . The phonon scattering time is obtained by considering the three-phonon scattering processes as [4,6,18]

$$\frac{1}{\tau_{q\nu}} = \sum_{\mathbf{q}_1 \nu_1} \sum_{\mathbf{q}_2 \nu_2} \left\{ \left\{ (n_{\mathbf{q}_1 \nu_1} - n_{\mathbf{q}_2 \nu_2}) W^+ \right\} + \frac{1}{2} \left\{ (n_{\mathbf{q}_1 \nu_1} + n_{\mathbf{q}_2 \nu_2} + 1) W^- \right\} \right\}, \quad (6)$$

where  $W$  represents scattering probability matrix given by

$$W^\pm = \frac{2\pi}{\hbar^2} \left| \Psi_{\mathbf{q}(\pm\mathbf{q}_1)(-\mathbf{q}_2)}^{\nu\nu_1\nu_2} \right|^2 \delta(\omega_{q\nu} \pm \omega_{\mathbf{q}_1 \nu_1} - \omega_{\mathbf{q}_2 \nu_2}). \quad (7)$$

$\Psi_{\mathbf{q}\mathbf{q}_1\mathbf{q}_2}^{\nu\nu_1\nu_2}$  are the Fourier transforms of real-space cubic constants,  $\Psi_{bl;b'l';b''l''}^{\alpha\beta\gamma}$ , and are obtained as

$$\Psi_{\mathbf{q}\mathbf{q}_1\mathbf{q}_2}^{\nu\nu_1\nu_2} = \Psi_{\mathbf{q}\mathbf{q}'\mathbf{q}''}^{\nu\nu'\nu''} = N \left( \frac{\hbar}{2N} \right)^{\frac{3}{2}} \sum_b \sum_{b'l'} \sum_{b''l''} \sum_{\alpha\beta\gamma} \Psi_{bl;b'l';b''l''}^{\alpha\beta\gamma} \times \frac{\tilde{z}_{b,q\nu}^\alpha \tilde{z}_{b',q'\nu'}^\beta \tilde{z}_{b'',q''\nu''}^\gamma}{\sqrt{m_b \omega_{q\nu} m_{b'} \omega_{q'\nu'} m_{b''} \omega_{q''\nu''}}} e^{i[\mathbf{q} \cdot \mathbf{r}_{0l} + \mathbf{q}'' \cdot \mathbf{r}_{0l''}]}, \quad (8)$$

The delta function  $\delta$  in Eq. (7) ensures energy conservation. The summation in Eq. (8) is performed over phonon wave vectors satisfying crystal momentum conservation, i.e.,  $\mathbf{q} + \mathbf{q}_1 + \mathbf{q}_2 = \mathbf{G}$ , where  $\mathbf{G}$  is the reciprocal space lattice vector.

### A. Computational details

The computation of phonon thermal conductivity via Eq. (1) requires harmonic and anharmonic interatomic force constants. The harmonic force constants are obtained using a four-point central difference scheme with a perturbation size of 0.03 Å on conventional unit-cell-based supercells. The electronic Brillouin zone is sampled using a Monkhorst-Pack wave-vector grid of size  $N_i^k$  such that  $N_i^k |a_i^{\text{comp}}| \sim 30$  Å, where  $|a_i^{\text{comp}}|$  represents the length of computational cell lattice vector  $\mathbf{a}_i^{\text{comp}}$ . For Quantum Espresso [21], the calculations are performed using sg15 optimized norm-conserving Vanderbilt pseudopotentials [30] and the plane-wave kinetic energy cutoff is set at 80 Ry in all calculations. The electronic total energy is converged to within  $10^{-10}$  Ry/atom during self-consistent cycles and the structure relaxations are performed with a force convergence criterion of  $10^{-5}$  Ry/Å. For VASP, the calculations are performed using LDA, PBE, PBEsol, and rSCAN functionals with the plane-wave energy cutoffs of  $1.3 \times \text{ENMAX}$ , where ENMAX is the maximum ENCUT reported in the pseudopotential files of participating species. The electronic total energy is converged to within  $10^{-6}$  eV during self-consistent cycles and the structure relaxations are performed with an energy convergence criterion of  $10^{-4}$  eV.

The harmonic force constants are obtained from perturbed supercells generated using  $N_i^{\text{har}}$  repetitions of the conventional unit cell such that  $N_i^{\text{har}} |a_i^{\text{conv}}| \sim 20$  Å using gamma-point sampling of the electronic Brillouin zone. The cubic and quartic IFCs are obtained from Taylor-series fitting of Hellmann-Feynman forces obtained on 200 thermally populated supercells (corresponding to a temperature of 300 K) obtained from  $N_i^{\text{anhar}}$  repetitions of the conventional unit cell such that  $N_i^{\text{anhar}} |a_i^{\text{conv}}| \sim 15$  Å, where  $|a_i^{\text{conv}}|$  represents the length of the conventional unit-cell lattice vector  $\mathbf{a}_i^{\text{conv}}$ . The cubic and quartic force constant interaction cutoffs are set at 6.5 and 4.0 Å for the majority of compounds, though for some compounds with lower symmetries these values are reduced to 5.0 and 2.5 Å. For the computation of three-phonon scattering rates, a phonon wave-vector grid of size  $N_i^q$  is employed such that  $N_i^q |a_i^{\text{comp}}| \sim 100$  Å.

We note that, since the primary objective of this work is to do benchmarking of forces per BTE solver, all reported

thermal conductivities are obtained using the relaxation time approximation of the BTE, with only three-phonon scattering, and by considering only the particle-channel contribution to thermal transport.

### B. Materials selection

We selected ternary materials from the Materials Project [28] based on the following criteria: (i) removed materials belonging to triclinic, monoclinic, and orthorhombic space-groups; (ii) removed materials containing lanthanides and actinides, noble gases, and precious metals; (iii) removed strongly ionic compounds formed by halides, oxides, and hydrides; (iv) removed materials with electronic band gap lower than 0.2 eV; (v) limited to thermodynamically stable materials with energy above the convex hull (with respect to all reported materials in the Materials Project) less than 0.2 eV/atom; and (vi) removed materials with more than 15 atoms in the unit cell. These filters resulted in 429 materials, of which the full thermal conductivity calculations are successful (without any imaginary phonon modes from any of the considered functional/DFT packages) on 48 materials. The dataset also includes one binary and one quaternary material.

### C. MAPE and average properties

We define the mean absolute percentage error (MAPE) on the basis of average values as  $\text{MAPE} = 2 \left| \frac{y-x}{y+x} \right| \times 100$  (%), where  $y, x$  are values obtained from two different functionals, BTE solvers, or DFT packages. Notice that  $(x+y)/2$  in this definition corresponds to the average value. Similarly, the mean percentage error is also defined on the basis of average values as  $\text{MPE} = 2 \frac{y-x}{y+x} \times 100$  (%).

The heat capacity weighted average Grüneisen parameters are obtained as  $\frac{\sum_i c_i |\gamma_i|}{\sum_i c_i}$ , where the summations are over all phonon modes and  $c_i$ , and  $\gamma_i$  are the mode-dependent heat capacity, and Grüneisen parameter.

## III. RESULTS

*BTE solver.* In Fig. 1, we first report the effect of the employed BTE solver on the obtained  $\kappa$ . For this, we obtain interatomic forces using the QE DFT package [21] with PBE XC functional [24]. We computed harmonic force constants using finite difference with a step size of 0.03 Å. The anharmonic force constants are obtained using the thermal snapshot method with 200 snapshots [18]. To remove dependence on interatomic force constants, we used the same set of interatomic force constants with different solvers along with the same thermal transport physics (three-phonon and isotope scatterings).

In Fig. 1(a) the  $\kappa$  obtained using the ShengBTE solver [14] are compared with those obtained using the ALD solver, and in Fig. 1(b) the  $\kappa$  obtained using the Phono3py solver [16] are compared with those obtained using the ALD solver. We find that the  $\kappa$  obtained using various BTE solvers shows minimal differences, and the obtained coefficient of determination,  $R^2$ , is perfect at 1.0 for all solvers. This agreement is really impressive as the employed solvers have different numerical implementations and handle energy conservation

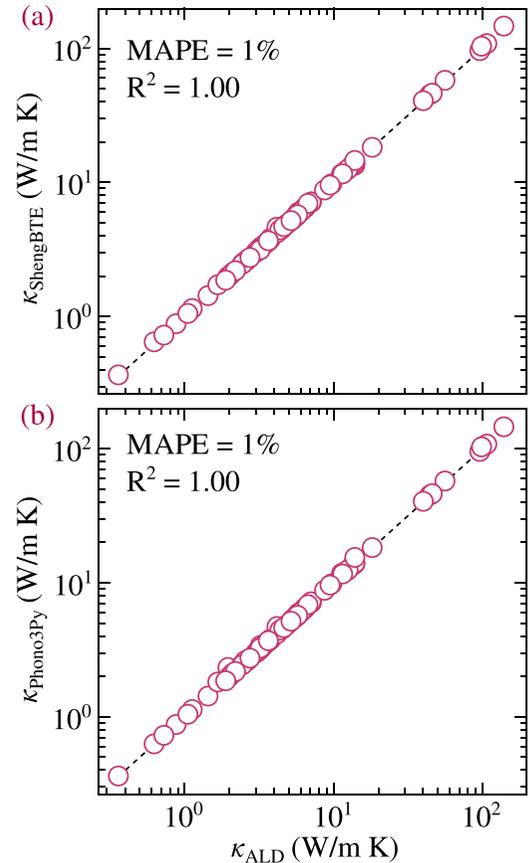


FIG. 1. The effect of the employed BTE solver on the predicted thermal conductivity. The comparison is made between (a) ShengBTE and ALD (in-house) BTE solvers and between (b) Phono3Py and ALD BTE solvers. All results are obtained using the same set of interatomic force constants (by converting between file formats). The interatomic forces are obtained by employing the PBE functional with the QE DFT package. The results suggest less than 1% variation in  $\kappa$  with different solvers.

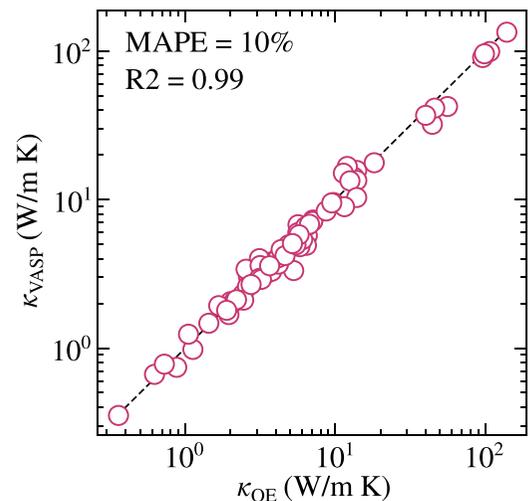


FIG. 2. The effect of using different DFT packages for obtaining interatomic forces for the thermal conductivity calculations. The forces are obtained using the PBE functional via VASP and QE DFT packages by using a plane-wave energy cutoff of  $1.3 \times \text{ENMAX}$  and 80 Ry respectively.

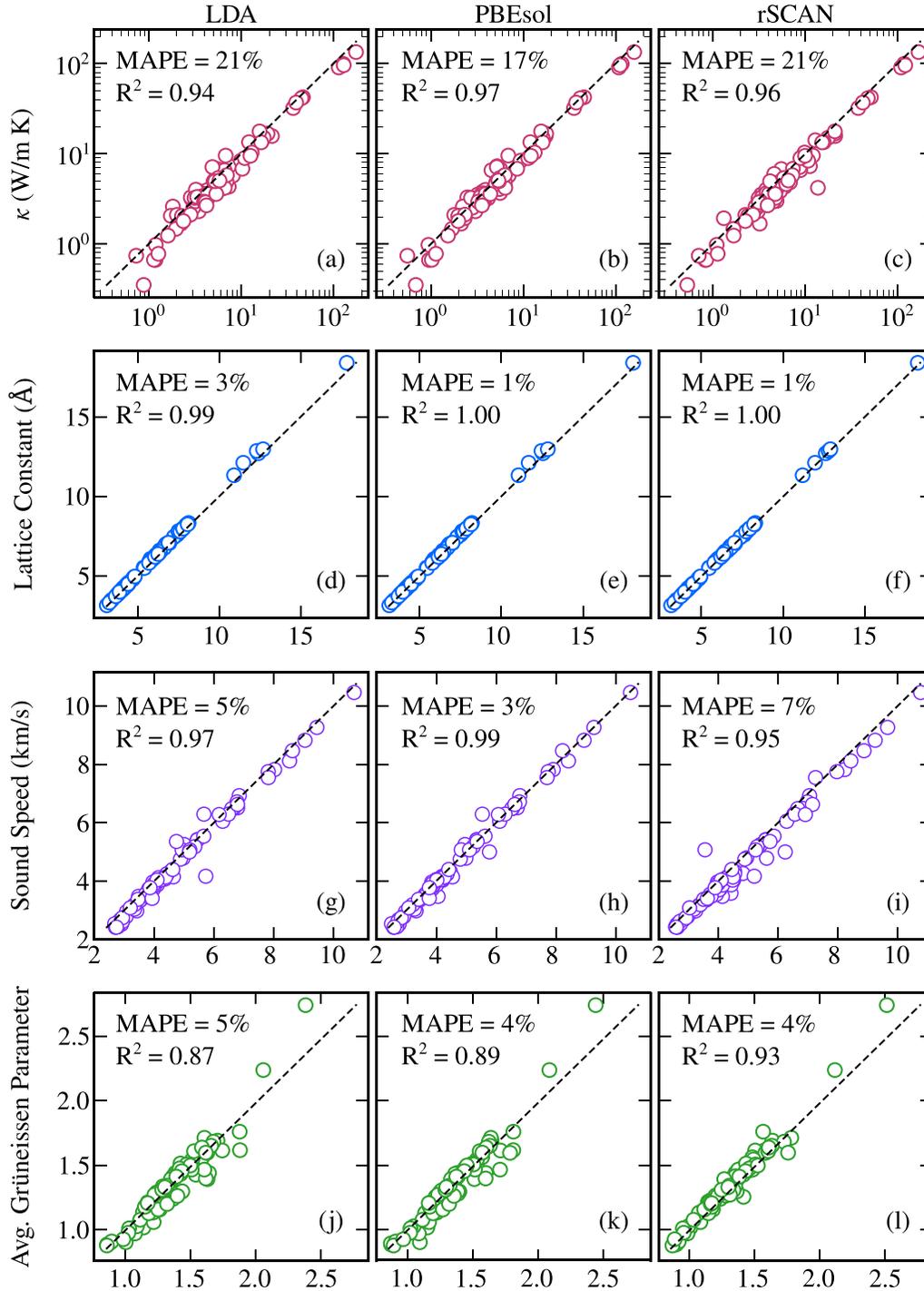


FIG. 3. The effect of exchange correlation functional on the thermal transport properties. The properties reported on the y axis are all obtained using the PBE functional, while those reported on the x axis are obtained using LDA, PBEsol, and rSCAN functionals for left, center, and right columns. All calculations are performed using the VASP DFT package, and  $\kappa$  are obtained using the ALD BTE solver. The Grüneissen parameters are heat capacity weighted.

delta functions using different approaches (tetrahedron, Gaussian, and Lorentzian in Phono3py, ShengBTE, and ALD). We note that similar agreement was recently also reported by McGaughey *et al.* [31]. The maximum obtained differences between  $\kappa_{\text{avg,BTE}} = \frac{1}{3}(\kappa_{\text{ALD}} + \kappa_{\text{ShengBTE}} + \kappa_{\text{Phono3py}})$  and  $\kappa_{\text{ALD}}$ ,  $\kappa_{\text{ShengBTE}}$ ,  $\kappa_{\text{Phono3py}}$  are 7%, 5%, 12% for CdLiAs

(cubic), SrIn<sub>2</sub>As<sub>2</sub> (*c* axis, hexagonal), SrIn<sub>2</sub>As<sub>2</sub> (*c* axis, hexagonal) respectively.

*DFT package.* In Fig. 2, we compare  $\kappa$  obtained from VASP-based DFT forces against those obtained using QE-based DFT forces. We employed the PBE XC functional in both packages and obtained  $\kappa$  via the ALD BTE solver.

TABLE I. The correlation between thermal transport properties obtained from different exchange correlation functionals. The average values are obtained by taking the average of all functionals for a given material. The reported numbers in bold are the mean absolute percentage errors obtained by taking the mean of absolute percentage errors,  $\frac{|x-y|}{(x+y)/2} \times 100$ , over considered materials. Similarly, the nonbold numbers are the mean percentage errors obtained by taking the mean of percentage errors,  $\frac{(x-y)}{(x+y)/2} \times 100$ , over considered materials.  $x, y$  denote values from rows and columns respectively.

		PBE	LDA	PBEsol	rSCAN	Average
Thermal conductivity	PBE		<b>21%</b>	<b>17%</b>	<b>21%</b>	<b>14%</b>
	LDA	15%		<b>10%</b>	<b>18%</b>	<b>10%</b>
	PBEsol	9%	-7%		<b>19%</b>	<b>8%</b>
	rSCAN	18%	2%	9%		<b>12%</b>
	Average	12%	-4%	3%	-6%	
Lattice constant	PBE		<b>3%</b>	<b>1%</b>	<b>1%</b>	<b>1%</b>
	LDA	-3%		<b>1%</b>	<b>2%</b>	<b>1%</b>
	PBEsol	-1%	1%		<b>1%</b>	<b>0%</b>
	rSCAN	-1%	2%	1%		<b>0%</b>
	Average	-1%	1%	0%	0%	
Speed of sound	PBE		<b>5%</b>	<b>3%</b>	<b>7%</b>	<b>4%</b>
	LDA	5%		<b>3%</b>	<b>5%</b>	<b>3%</b>
	PBEsol	2%	-2%		<b>5%</b>	<b>2%</b>
	rSCAN	6%	1%	3%		<b>4%</b>
	Average	3%	-1%	1%	-2%	
Average Grüneisen parameter	PBE		<b>5%</b>	<b>4%</b>	<b>4%</b>	<b>2%</b>
	LDA	1%		<b>2%</b>	<b>6%</b>	<b>3%</b>
	PBEsol	1%	0%		<b>6%</b>	<b>2%</b>
	rSCAN	-3%	-4%	-4%		<b>4%</b>
	Average	0%	-1%	-1%	3%	

All calculations are performed using exactly the same simulation parameters (barring plane-wave energy cutoff and pseudopotentials).

We find that the  $\kappa$  obtained from the forces in these two DFT packages follows a similar trend and has a high  $R^2$  of 0.99 with MAPE 10%. Some variations in the results from these considered DFT packages are expected due to different pseudopotentials and different plane-wave energy cutoffs: the plane-wave energy cutoff in QE is fixed at 80 Ry, while in VASP it is set at  $1.3 \times \text{ENMAX}$ .

The maximum obtained difference between  $\kappa$  from two DFT packages is for  $\text{CuGa}_5\text{Se}_8$  in the tetragonal space group, for which  $\kappa$  values obtained using QE package-based forces are 6.05 and 5.28 W/m K along two unequal directions, while those obtained using the VASP-based forces are 5.38 and 3.32 W/m K respectively. However, these large differences are due to the employed plane-wave energy cutoff, and, with the use of 120 Ry cutoff in QE-based force evaluations, the  $\kappa$  values obtained from QE change to 5.54 and 3.61 W/m K (within 9% of those obtained using the VASP-based forces). We expect a similar agreement for other materials with changes in the plane-wave energy cutoff. However, since our employed values of plane-wave energy cutoffs are typical for the considered DFT packages, we decided not to refine calculations any further, and reported differences originating from these typical values.

*XC functional.* We next investigate the variation in  $\kappa$  due to the use of different XC functionals in DFT calculations. For this, we obtained forces from the VASP DFT package,

and solved the BTE using the ALD solver. We employed commonly used PBE [24], LDA [23], PBEsol [25], and rSCAN [26] functionals. These functionals differ in their treatment of electron density, gradient corrections, and kinetic energy density, which influences their accuracy in predicting structural and energetic properties. While LDA tends to overbind and PBE typically overestimates lattice constants, PBEsol improves structural predictions for solids, and rSCAN (a numerically stable revision of SCAN), as a meta generalized gradient approximation (meta-GGA), provides enhanced accuracy across diverse bonding environments.

The results obtained using the PBE functional are compared with LDA, PBEsol, and rSCAN functionals in Figs. 3(a)–3(c), where the values reported on the ordinate axis are obtained using the PBE functional, while those on the abscissa are obtained using LDA, PBEsol, and rSCAN functionals for the left, center, and right columns.

We find that the employed functional has a profound effect on the obtained  $\kappa$ , and the MAPE between different functionals varies to over 20%. For the majority of the materials, we find that  $\kappa$  obtained using the PBE (rSCAN) functional based forces is lower (higher) than that obtained using other functionals. To understand the origin of this large variation in  $\kappa$  from different functionals, we report lattice constant, speed of sound, and heat-capacity weighted Grüneisen parameters in Figs. 3(d)–3(f), 3(g)–3(i), and 3(j)–3(l) respectively. Further, the average performances of each of these considered functionals are also reported in Table I for  $\kappa$ , lattice

constants, speed of sound, and average Grüneisen parameter. The sound speeds are obtained as group velocities of longitudinal acoustic phonons in the long wavelength limit and are indicative of the correctness of harmonic interatomic force constants. Similarly, Grüneisen parameters are a measure of the anharmonicity of material and are indicative of the correctness of cubic interatomic force constants.

As reported in literature, we find that lattice constant trends are systematic across the considered materials with  $a_{\text{LDA}} < a_{\text{PBEsol}} < a_{\text{rSCAN}} < a_{\text{PBE}}$ , suggesting over- and underbinding from LDA and PBE functionals. This over- and underbinding by LDA and PBE functionals results in stiffened and softened phonon dispersions from two functionals. This is reflected in the speed of sound, which is consistently underpredicted (overpredicted) from the PBE (LDA) functional compared to the PBEsol functional, with a mean absolute percentage error of 3% each. Due to this, the  $\kappa$  obtained from the PBE (LDA) functional is significantly underpredicted (overpredicted) compared to the PBEsol functional. However, the mean absolute percentage error in predicting  $\kappa$  using the PBE functional is 17% compared to PBEsol, whereas the LDA functional yields more consistent results with PBEsol, with a corresponding error of 10%.

To understand this large difference from the PBE functional, we focus on  $\text{AgScSe}_2$  in the trigonal space group, for which  $\kappa$  is consistently underpredicted with respect to all other functionals, with an obtained value of 0.35 W/m K from the PBE functional compared to 0.88, 0.69, and 0.53 W/m K from LDA, PBEsol, and rSCAN functionals in the cross-plane direction [Figs. 3(a)–3(c)]. The mode-dependent phonon properties of  $\text{AgScSe}_2$  are reported in Fig. 4. As expected from the lattice constant, the phonon dispersion obtained using the PBE (LDA) functional is soft (stiff) compared to the PBEsol functional [Fig. 4(a)]. However, despite lower values of cubic interatomic force constants [Fig. 4(c)], the obtained phonon scattering lifetimes are also lower from the PBE functional compared to the other two functionals. This is an indirect effect of phonon softening, which results in acoustic bunching, making it easier for phonons to satisfy momentum and energy conservation selection rules. This is reported in the inset of Fig. 4(b) for low-frequency acoustic phonons, where the obtained three-phonon scattering phase space from the PBE functional is larger than that from the other functionals. Consequently, the lower phonon group velocities combined with reduced phonon lifetimes result in a less than 0.25 W/m K contribution from low-frequency acoustic phonons (frequency lower than 2 THz) from the PBE functional compared to more than 0.5 W/m K from other functionals [Fig. 4(d)].

For the rSCAN functional, the obtained lattice constants are similar to those from PBE and PBEsol functionals (mean absolute error of only 1%), and the obtained phonon group velocities are overpredicted compared to all other functionals (with the exception of LiTaS, for which rSCAN functional velocities are lower than all other functionals [32]). Further, the rSCAN functional results in an underprediction of lattice anharmonicity compared to all other functionals as reflected in average Grüneisen parameters (Table I). Consequently, the  $\kappa$  obtained from the rSCAN functional are higher, on average, compared to those from other functionals. The largest

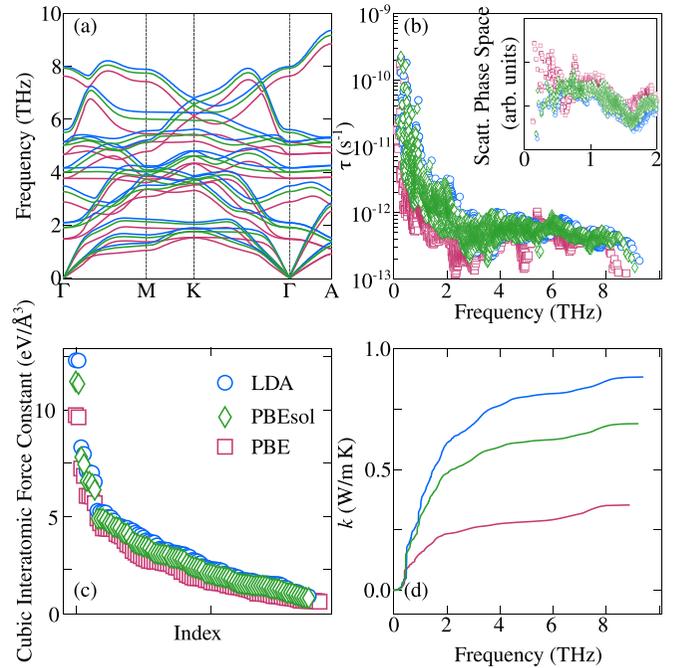


FIG. 4. Phonon thermal transport properties of  $\text{AgScSe}_2$  (trigonal space group) calculated using PBE, PBEsol, and LDA functionals: (a) phonon dispersion relations, (b) phonon scattering lifetimes with an inset showing the three-phonon scattering phase space for low-frequency modes, (c) the most significant (largest magnitude) cubic interatomic force constants, and (d) thermal conductivity accumulation as a function of phonon frequency. The accumulation reported in (d) is for cross-plane thermal transport.

deviation of  $\kappa$  obtained using the rSCAN functional is for ZnLiAs in the cubic space group, with a value of 13.66 W/m K compared to 4.19, 6.63, and 6.38 W/m K from PBE, LDA, and PBEsol functionals. The thermal conductivity values of all considered materials, calculated under different computational settings, are summarized in Table II.

The mode-dependent phonon properties of ZnLiAs as obtained from the rSCAN functional are compared with those from the PBEsol functional in Fig. 5. The speed of sound obtained from rSCAN is more than 5500 m/s, compared to less than 5000 m/s from the PBEsol functional. This stiffened acoustic phonon dispersion from the rSCAN functional has reduced acoustic bunching, which reduces the three-phonon scattering phase space [33]. Consequently, the phonon scattering lifetimes obtained from the rSCAN functional are up to an order of magnitude larger than those from the PBEsol functional. The large phonon group velocities, combined with reduced phonon-phonon scattering, result in a factor-of-2 larger  $\kappa$  from the rSCAN functional compared to that from the PBEsol functional for ZnLiAs.

The above results suggest large variation in predicted  $\kappa$  based on the employed XC functional. To check if some functionals are more consistent in describing experimentally measured  $\kappa$ , we looked in the literature for experimentally measured  $\kappa$  of the considered materials. However, from 50 materials, we were able to find results for only three materials: (i) for BiLiMg in the cubic space group, the experimentally measured  $\kappa$  is 5.0 W/m K for single crystal samples [34],

TABLE II. The lattice thermal conductivity (in W/m K) of the considered materials as obtained using different settings. For materials in the trigonal and tetragonal space groups, the properties from two nonequal directions are reported as different data points. For QE, all calculations are performed using the PBE functional by employing three different BTE solvers. For VASP, all calculations are performed using the ALD BTE solver by employing different XC functionals. For MACE ML models, the calculations are performed using the ALD BTE solver.

Stoichiometry	Space group number	QE			VASP				MACE-ML	
		ALD	ShengBTE	Phono3py	PBE	LDA	PBEsol	rSCAN	MP	PES
Al <sub>2</sub> CdS <sub>4</sub>	82	5.81	5.98	5.95	4.81	5.84	5.50	6.81	4.17	6.16
Al <sub>2</sub> CdS <sub>4</sub>	82	4.39	4.50	4.48	3.83	4.80	4.60	5.32	2.83	3.72
CdGa <sub>2</sub> S <sub>4</sub>	82	5.64	5.69	5.67	6.77	5.49	5.63	5.49	5.21	4.17
CdGa <sub>2</sub> S <sub>4</sub>	82	5.62	5.65	5.65	5.98	5.45	5.14	4.60	4.33	3.23
CdGa <sub>2</sub> Se <sub>4</sub>	82	3.78	3.80	3.79	3.53	3.34	3.22	3.34	3.86	3.43
CdGa <sub>2</sub> Se <sub>4</sub>	82	3.35	3.36	3.35	3.20	2.88	2.89	3.11	2.76	3.32
CdGa <sub>2</sub> Te <sub>4</sub>	82	3.26	3.27	3.26	3.81	3.71	3.65	4.50	1.07	2.06
CdGa <sub>2</sub> Te <sub>4</sub>	82	2.64	2.65	2.65	3.04	3.00	2.92	3.62	0.93	1.66
Al <sub>2</sub> Se <sub>4</sub> Zn	82	7.10	7.13	7.12	7.27	7.38	5.21	11.19	5.58	4.80
Al <sub>2</sub> Se <sub>4</sub> Zn	82	6.34	6.38	6.36	6.57	6.60	4.53	8.81	5.38	4.57
Al <sub>2</sub> BaTe <sub>4</sub>	97	2.43	2.46	2.45	2.31	3.48	3.04	3.07	1.73	1.89
Al <sub>2</sub> BaTe <sub>4</sub>	97	0.62	0.65	0.63	0.67	1.18	0.96	0.83	0.33	0.43
CdIn <sub>2</sub> Se <sub>4</sub>	111	4.88	4.90	4.87	4.95	5.03	5.15	5.39	3.83	4.01
CdIn <sub>2</sub> Se <sub>4</sub>	111	3.24	3.26	3.25	3.65	3.72	3.70	4.07	2.45	3.67
AgIn <sub>5</sub> Se <sub>8</sub>	111	2.45	2.47	2.45	2.10	2.02	1.79	2.24	2.34	1.25
AgIn <sub>5</sub> Se <sub>8</sub>	111	0.88	0.88	0.88	0.74	0.73	0.56	0.70	0.39	0.20
AgIn <sub>5</sub> Te <sub>8</sub>	111	2.11	2.13	2.12	2.05	2.29	1.98	2.40	0.99	0.95
AgIn <sub>5</sub> Te <sub>8</sub>	111	1.13	1.14	1.13	0.98	1.19	0.94	1.10	0.42	0.23
CuGa <sub>5</sub> Se <sub>8</sub>	111	6.06	6.06	6.06	5.38	5.64	5.57	5.85	4.13	2.12
CuGa <sub>5</sub> Se <sub>8</sub>	111	5.28	5.24	5.30	3.33	3.34	3.43	3.41	2.26	1.00
Mg <sub>4</sub> SeTe <sub>3</sub>	115	9.72	9.74	9.82	9.53	6.79	6.88	12.05	6.98	8.75
Mg <sub>4</sub> SeTe <sub>3</sub>	115	7.05	7.12	7.20	7.12	4.88	5.17	8.68	4.33	5.25
AlGaN <sub>2</sub>	115	138.61	145.86	145.16	133.27	173.92	157.61	167.03	76.64	94.51
AlGaN <sub>2</sub>	115	98.30	103.27	102.90	95.38	128.06	111.02	119.89	64.98	79.29
AlGaP <sub>2</sub>	115	55.83	57.49	57.29	42.17	46.43	45.36	51.00	14.75	46.11
AlGaP <sub>2</sub>	115	44.23	45.02	44.97	32.01	36.42	35.29	37.28	11.47	37.12
As <sub>2</sub> GaIn	115	12.00	12.08	12.08	16.67	19.25	17.65	20.72	8.10	17.23
As <sub>2</sub> GaIn	115	11.30	11.53	11.73	15.03	17.49	16.15	17.59	7.21	14.31
AsIn <sub>2</sub> P	115	45.86	46.11	46.03	41.12	44.79	41.35	47.25	12.89	30.33
AsIn <sub>2</sub> P	115	39.94	40.54	40.41	36.78	39.22	37.27	41.67	11.48	28.53
Mg <sub>2</sub> SSe	115	18.15	18.22	18.28	17.65	15.78	15.59	20.79	8.92	16.59
Mg <sub>2</sub> SSe	115	13.88	14.01	13.99	13.42	12.15	11.92	15.79	6.80	13.36
In <sub>2</sub> MgTe <sub>4</sub>	121	4.56	4.58	4.57	3.98	4.35	3.90	4.85	2.06	1.36
In <sub>2</sub> MgTe <sub>4</sub>	121	3.77	3.82	3.79	3.29	2.85	2.48	3.94	1.32	0.69
GeMg <sub>4</sub> Si	123	5.73	5.73	5.72	5.79	7.00	6.31	7.24	2.17	5.52
GeMg <sub>4</sub> Si	123	5.18	5.17	5.17	5.05	5.82	5.38	6.53	1.92	4.97
Na <sub>4</sub> SeTe	123	2.19	2.19	2.18	2.12	2.83	2.34	2.71	1.51	1.91
Na <sub>4</sub> SeTe	123	1.89	1.87	1.86	1.80	2.37	1.98	2.24	1.23	1.58
As <sub>2</sub> Be <sub>2</sub> Li <sub>2</sub>	129	9.46	9.53	9.61	9.48	12.58	12.09	9.92	2.38	9.96
As <sub>2</sub> Be <sub>2</sub> Li <sub>2</sub>	129	3.22	3.14	3.25	2.90	4.11	3.61	3.15	1.09	3.93
Li <sub>2</sub> Na <sub>2</sub> S <sub>2</sub>	129	3.65	3.66	3.70	3.58	5.37	4.40	4.72	3.18	2.94
Li <sub>2</sub> Na <sub>2</sub> S <sub>2</sub>	129	2.75	2.75	2.74	2.68	4.21	3.57	3.91	2.75	2.70
BaCd <sub>2</sub> P <sub>2</sub>	164	4.47	4.47	4.48	4.31	7.33	6.34	5.99	2.03	4.42
BaCd <sub>2</sub> P <sub>2</sub>	164	3.53	3.53	3.55	3.21	4.35	4.16	4.73	1.39	3.18
BaMg <sub>2</sub> P <sub>2</sub>	164	5.60	5.58	5.58	5.41	6.38	5.84	6.40	4.58	5.74
BaMg <sub>2</sub> P <sub>2</sub>	164	3.68	3.65	3.67	3.79	4.05	3.68	4.49	2.53	3.38
BaMg <sub>2</sub> Sb <sub>2</sub>	164	6.50	6.55	6.57	5.72	8.13	6.95	6.69	2.71	6.16
BaMg <sub>2</sub> Sb <sub>2</sub>	164	6.41	6.44	6.48	4.92	6.94	6.30	6.32	2.05	5.04
AgScSe <sub>2</sub>	164	0.73	0.73	0.73	0.78	1.27	1.14	1.13	0.63	0.49
AgScSe <sub>2</sub>	164	0.36	0.37	0.36	0.35	0.88	0.69	0.53	0.42	0.18
Be <sub>2</sub> Li <sub>2</sub> Sb <sub>2</sub>	186	13.86	14.54	15.43	10.30	12.98	13.28	9.80	3.94	8.45
Be <sub>2</sub> Li <sub>2</sub> Sb <sub>2</sub>	186	11.49	11.55	11.62	8.94	10.91	11.31	8.84	3.03	5.83
AsBaLi	187	3.15	3.16	3.18	3.59	4.36	3.92	4.03	1.90	3.33
AsBaLi	187	3.12	3.12	3.13	2.95	3.96	3.25	3.28	1.60	2.75

TABLE II. (Continued.)

Stoichiometry	Space group number	QE			VASP				MACE-ML	
		ALD	ShengBTE	Phono3py	PBE	LDA	PBESol	rSCAN	MP	PES
As <sub>4</sub> In <sub>4</sub> Sr <sub>2</sub>	194	3.21	3.20	3.18	3.00	3.86	3.52	4.88	3.37	4.94
As <sub>4</sub> In <sub>4</sub> Sr <sub>2</sub>	194	1.95	1.98	2.34	1.68	2.23	2.13	3.21	1.35	3.58
Li <sub>2</sub> S <sub>4</sub> Ta <sub>2</sub>	194	12.50	12.56	12.50	13.34	16.45	16.17	15.18	1.65	4.74
Li <sub>2</sub> S <sub>4</sub> Ta <sub>2</sub>	194	1.67	1.72	1.82	1.93	2.44	2.33	1.31	0.37	1.61
Mg <sub>4</sub> Te <sub>8</sub>	205	3.23	3.24	3.40	3.48	3.71	3.58	4.30	1.36	3.20
AlGa <sub>3</sub> N <sub>4</sub>	215	106.57	107.97	107.83	98.77	126.26	115.68	116.67	84.40	84.38
Al <sub>3</sub> GaN <sub>4</sub>	215	95.69	95.63	95.16	90.01	113.34	107.69	108.18	74.36	84.40
Li <sub>8</sub> Mg <sub>4</sub> Si <sub>4</sub>	215	1.05	1.05	1.05	1.24	1.62	1.53	1.68	0.73	2.59
Al <sub>5</sub> CuS <sub>8</sub>	216	4.18	4.20	4.17	3.67	4.52	5.61	3.80	5.83	3.44
AsCdLi	216	4.17	4.63	4.72	4.06	6.04	5.49	4.77	5.08	3.55
BiLiMg	216	4.35	4.42	4.43	4.61	5.51	5.04	6.21	2.12	6.96
LiMgN	216	13.87	13.40	13.85	15.67	21.45	17.43	20.96	15.75	18.86
LiMgP	216	8.69	8.76	8.79	8.37	9.92	9.34	10.71	5.82	9.62
AsLiZn	216	4.62	4.67	4.62	4.19	6.63	6.38	13.66	2.86	6.17
LiNZn	216	13.31	13.39	13.36	14.09	16.90	16.10	12.79	10.97	14.87
LiPZn	216	6.73	6.96	6.86	6.81	10.29	8.58	7.33	4.20	8.21
Ca <sub>3</sub> NSb	221	5.46	5.46	5.46	4.96	5.22	5.46	4.16	6.35	5.15
BiLi <sub>2</sub> Na	225	1.44	1.43	1.43	1.47	1.97	1.70	1.67	1.25	1.56
Cd <sub>2</sub> In <sub>4</sub> S <sub>8</sub>	227	3.12	3.11	3.10	4.00	3.24	4.72	3.77	2.27	1.33
Cd <sub>2</sub> Sc <sub>4</sub> Se <sub>8</sub>	227	2.60	2.61	2.60	2.60	1.83	2.22	2.90	3.34	3.13
Cd <sub>2</sub> S <sub>8</sub> Y <sub>4</sub>	227	3.01	3.01	3.00	2.97	3.21	3.75	4.55	1.88	3.21
Cd <sub>2</sub> Se <sub>8</sub> Y <sub>4</sub>	227	2.00	2.01	2.00	2.05	1.73	2.01	2.51	1.65	2.58
Mg <sub>2</sub> Se <sub>8</sub> Y <sub>4</sub>	227	3.08	3.07	3.07	3.24	3.13	2.72	4.76	4.34	3.39
B <sub>3</sub> Ca <sub>4</sub> LiN <sub>6</sub>	229	2.54	2.57	2.61	3.40	3.80	3.98	4.07	1.67	2.73
Cd <sub>2</sub> In <sub>4</sub> S <sub>8</sub>	227	3.12	3.11	3.10	4.00	3.24	4.72	3.77	2.27	1.33
Cd <sub>2</sub> Sc <sub>4</sub> Se <sub>8</sub>	227	2.60	2.61	2.60	2.60	1.83	2.22	2.90	3.34	3.13
Cd <sub>2</sub> S <sub>8</sub> Y <sub>4</sub>	227	3.01	3.01	3.00	2.97	3.21	3.75	4.55	1.88	3.21
Cd <sub>2</sub> Se <sub>8</sub> Y <sub>4</sub>	227	2.00	2.01	2.00	2.05	1.73	2.01	2.51	1.65	2.58
Mg <sub>2</sub> Se <sub>8</sub> Y <sub>4</sub>	227	3.08	3.07	3.07	3.24	3.13	2.72	4.76	4.34	3.39
B <sub>3</sub> Ca <sub>4</sub> LiN <sub>6</sub>	229	2.54	2.57	2.61	3.40	3.80	3.98	4.07	1.67	2.73

compared to 4.6, 5.5, 5.0, and 6.2 W/m K from PBE, LDA, PBESol, and rSCAN functionals; (ii) for CdIn<sub>2</sub>S<sub>4</sub> in the cubic space group, the experimentally measured value is 4.5 W/m K for single crystal samples [35], compared to 4.0, 3.2, 4.7, 3.8 from PBE, LDA, PBESol, and rSCAN functionals; and (iii) for AgIn<sub>5</sub>Te<sub>8</sub> in the tetragonal spacegroup, the experimentally measured value is 1.08 W/m K for polycrystalline pellets [36], compared to direction-averaged values of 1.7, 1.9, 1.6, and 2.0 W/m K from PBE, LDA, PBESol, and rSCAN functionals without phonon-boundary scattering.

*ML models.* In Fig. 6, we report the comparison of  $\kappa$  obtained using MACE foundational ML models based forces with those obtained from DFT-based forces [27]. The DFT forces are obtained using the VASP package with the PBE functional, and BTE calculations are carried out using the ALD BTE solver. The PBE functional is chosen for comparison as the underlying ML models were trained on PBE-based DFT calculations. For Fig. 6(a), results are obtained using the MACE ML model, where training was performed on the Materials Project dataset (close-to-equilibrium static configurations) [28]. For Fig. 6(b), results are obtained using the MACE ML model, for which training was performed on the MatPES dataset consisting of DFT/ML-driven molecular dy-

namics trajectories (out-of-equilibrium configurations) [29]. For both cases, we restrict the structures to the DFT relaxed configuration and only obtain forces from the ML models, i.e., structure relaxation is not performed using the ML model. This is done as we noticed that, for certain cases, considered ML models failed drastically in resolving small close-to-equilibrium forces, and resulted in a significantly different relaxed structure compared to the DFT counterpart. This limitation of ML models in resolving small close-to-equilibrium forces has been reported in the literature, for instance, in Ref. [37], where we reported small prediction errors from ML forces when trained on thermally perturbed structures compared to larger errors on finite-difference perturbation-based structures.

We find that the  $\kappa$  values obtained from both ML models follow the correct trend. However, the errors obtained compared to the PBE functional are high: with the MatPES model, the error is somewhat reduced but it stays high at 31%. Compared to the MP model, which underpredicts  $\kappa$ , the MatPES model is unbiased and results in both under- and overprediction of  $\kappa$  compared to DFT-based values. The underprediction of  $\kappa$  from the MP model is due to consistent underprediction of phonon group velocities and overprediction of lattice an-

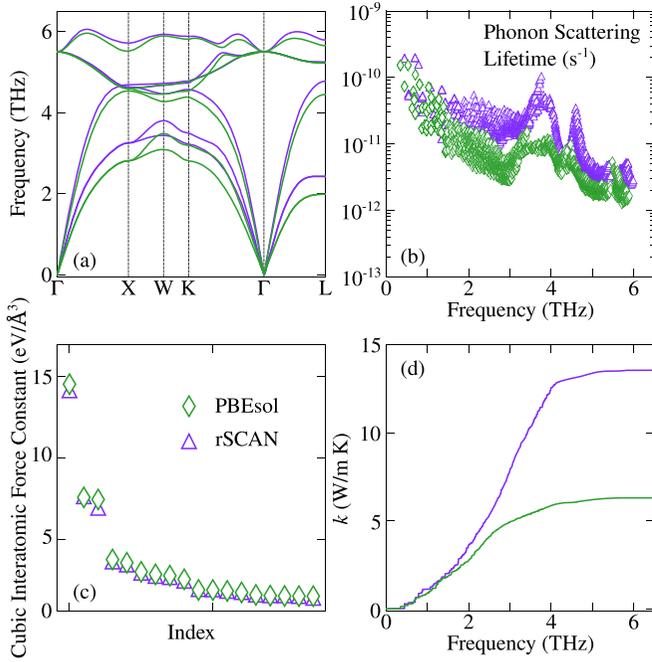


FIG. 5. Phonon thermal transport properties of ZnLiAs (cubic space group) calculated using PBEsol and rSCAN functionals: (a) phonon dispersion relations, (b) phonon scattering lifetimes, (c) the most significant (largest magnitude) cubic interatomic force constants, and (d) thermal conductivity accumulation as a function of phonon frequency. The  $\kappa$  obtained using the rSCAN functional is a factor of 2 larger than that from the PBEsol functional owing to stiffened phonon dispersion (overprediction of phonon group velocities) and reduced acoustic bunching (reduced phonon scattering phase space and larger phonon scattering lifetimes).

harmonicity for all materials. With MatPES, the performance is improved owing to better prediction of phonon group velocities and lattice anharmonicity (mean absolute percentage error decreased from 20% for the MP model to 14% for the MatPES model for speed of sound and from 21% for the MP model to 10% for the MatPES model for average Grüneisen parameters). It is worthwhile to note that these errors obtained from foundational ML models are similar to those reported for direct end-to-end  $\kappa$  prediction (without requiring interatomic forces and force constants or BTE solvers). However, the end-to-end ML model performances are highly susceptible to training datasets, while the foundational ML models are more robust and universal.

#### IV. DISCUSSION

The thermal transport theory for solids has evolved significantly in the past decade. With the availability of many public-domain DFT packages and BTE solvers, studies reporting first-principles-based  $\kappa$  and their comparison with experiments are now a routine procedure. Many of the theoretical developments in thermal transport theory are motivated by the discrepancy between DFT-based  $\kappa$  prediction and experimental measurements. While experimental uncertainties are often accounted for in such comparisons (for instance, through inclusion of boundary or grain-boundary scatterings),

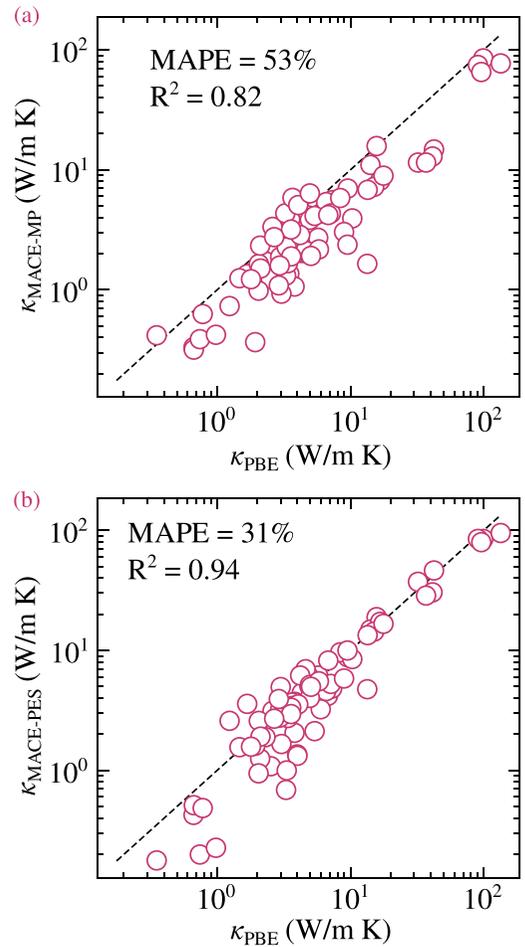


FIG. 6. Thermal conductivities obtained using foundational ML models trained originally on (a) MP and (b) MatPES datasets [28,29]. The comparison is made against values obtained using the PBE functional, as the PBE functional was employed in the dataset generation for MP and MatPES datasets.

the uncertainties associated with the use of employed numerical parameters, such as those discussed above, are rarely reported.

In this regard, we have shown that there are uncertainties of more than 20% arising from the choice of XC functional itself. The choice of functional is often justified in the literature on the basis of better agreement of lattice constant [12,20], but the agreement of lattice constant may not be the right metric, as presented in Fig. 3. This underprediction of  $\kappa$  by the PBE functional is consistent with our previous study on  $\text{ThO}_2$ , where we found a good agreement of predicted  $\kappa$  between LDA and PBEsol functionals and experiments, while the PBE functional resulted in a severe underprediction [38]. This has also been reported in the literature for other rocksalt and zinc-blende binary semiconductors with cubic structures [20].

Amongst other parameters, our results show that there is a minimal effect of BTE solver on obtained  $\kappa$ , and all solvers result in a good agreement, despite having different implementations of invariance constraints, energy conservation delta functions, etc. Similarly, we find small differences

in  $\kappa$  obtained from different DFT packages. For pretrained foundational ML models, we find that, while these models are generic and the accuracy of  $\kappa$  obtained based on the forces of these models is improving with the inclusion of more diverse training data (MatPES trained model compared to MP trained model), the accuracies are currently limited (similar to end-to-end ML models [39]). Further, our finding of underprediction of  $\kappa$  by the PBE functional, compared to other functionals, may have severe consequences on the foundational ML approaches and high-throughput  $\kappa$  datasets, as many of these currently available datasets and ML training are based on the PBE functional-based results.

Based on our analysis, we find that, although rSCAN is a meta-GGA functional, it consistently overestimates the thermal conductivity ( $\kappa$ ), primarily due to its treatment of bond stiffness and its tendency to underestimate anharmonic effects. Among the remaining functionals, we recommend benchmarking the computed phonon frequencies and group velocities against experimental data prior to performing  $\kappa$  calculations. In cases where experimental validation is not feasible, the use of the PBEsol functional is advised, as the resulting  $\kappa$  values typically lie within the bounds defined by PBE and LDA functionals. However, caution should be exercised when interpreting these results, especially when developing new physical models, to ensure that the predicted  $\kappa$  values do not have accidental agreement with experimental observations.

Finally, we note that the uncertainties arising from the use of different force constant extraction approaches (finite difference vs density functional perturbation theory for harmonic force constants; finite difference vs compressing sensing, etc., for anharmonic force constants; effect of step size, etc.) and thermal transport physics (multichannel thermal transport, phonon renormalization, higher-order scattering, etc.) are not

reported in this study. Several of such effects are reported to be important for low-dimensional and high- $\kappa$  materials in the literature [18,40,41].

## V. CONCLUSIONS

In summary, we have carried out a computational benchmarking of uncertainties in phonon thermal conductivity calculation of semiconductors using the BTE approach. We considered uncertainties originating from the use of different BTE solvers, DFT packages, XC functionals, and foundational ML models. Our results suggest that, while the variations in thermal conductivity from BTE solvers and DFT packages are small with MAPE <10%, the use of different XC functionals results in a large variation (>20% MAPE). The use of foundational ML models results in the right trend of thermal conductivity, but they result in additional >30% MAPE compared to the PBE functional data on which the models were trained.

## ACKNOWLEDGMENTS

The authors acknowledge financial support from the Core Research Grant, Science & Engineering Research Board, India (Grant No. CRG/2021/000010) and Nano Mission, Government of India (Grant No. DST/NM/NS/2020/340). The calculations were carried out on the SpaceTime-II supercomputing facility of IIT Bombay.

## DATA AVAILABILITY

The data that support the findings of this article are not publicly available. The data are available from the authors upon reasonable request.

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